

## ANNEX IIIa

(model for individual or global export authorisation forms)

(referred to in Article 14(1) of this Regulation)

When granting the export authorisations, Member States will strive to ensure the visibility of the nature of the authorisation (individual or global) on the form issued.

This is an export authorisation valid in all Member States of the European Union until its expiry date.

## EUROPEAN COMMUNITY

## EXPORT OF DUAL-USE ITEMS (Reg. (EC) No 428/2009)

LICENCE	1	1. Exporter	No	2. Identification number	3. Expiry date (if applicable)
				4. Contact point details	
		5. Consignee		6. Issuing authority	
		7. Agent/Representative (if different from exporter)	No	8. Country of origin	
				Code (*)	
				9. Country of consignment	
				Code (*)	
		10. End user (if different from consignee)		11. Member State of current or future location of the items	
				Code (*)	
				12. Member State of intended entry into the customs export procedure	
			Code (*)		
			13. Country of final destination		
			Code (*)		
1	14. Description of the items (*)	15. Harmonised System or Combined Nomenclature Code (if applicable with 8 digit; CAS number if available)		16. Control list no (for listed items)	
		17. Currency and Value		18. Quantity of the items	
	19. End use		20. Contract date (if applicable)		21. Customs export procedure
22. Additional information required by national legislation (to be specified on the form)					
Available for pre-printed information At discretion of Member States					
For completion by issuing authority					
Signature			Stamp		
Issuing Authority					
Date					

(\*) See Regulation (EC) No 1172/95 (OJ L 118, 25.5.1995, p. 10)

(-) If needed, the description may be given in one or more attachments to the form (12a). In this case, indicate the exact number of attachments in the box. The description should be as precise as possible and integrate, where relevant, the CAS or other references for chemical items in particular.





## ANNEX IIIb

(model for brokering services authorisation forms)

(referred to in Article 14(1) of this Regulation)

EUROPEAN COMMUNITY		PROVISION OF BROKERING SERVICES (Reg. (EC) No 426/2009)	
LICENCE	1	1. Broker/Applicant	No
		2. Identification number	3. Expiry date (if applicable)
		4. Contact point details	
		5. Exporter in originating third country	6. Issuing authority
		7. Consignee in third country of destination	No
		8. Member State in which the broker is resident or established	Code ( )
		9. Originating third country/Third country of location of the items subject of brokering services	Code (*)
		10. End user in third country of destination (if different from consignee)	11. Third country of destination
			Code ( )
			12. Third parties involved, e.g. agents (if applicable)
1	13. Description of the items.	14. Harmonised System or Combined Nomenclature Code (if applicable)	15. Control list no
		16. Currency and Value	17. Quantity of the items
	18. End use		
	19. Additional information required by national legislation (to be specified on the form)		
	Available for pre-printed information At discretion of Member States		
	For completion by issuing authority		
	Signature		Stamp
	Issuing Authority		
	Date		

(\*) See Regulation (EC) No 1172/95 (OJ L 118, 25.5.1995, p. 10)

## ANNEX IIIc

**COMMON ELEMENTS FOR PUBLICATION OF NATIONAL GENERAL EXPORT AUTHORISATIONS IN  
NATIONAL OFFICIAL JOURNALS**

(referred to in Article 9(4)(b) of this Regulation)

1. Title of general export authorisation
2. Authority issuing the authorisation
3. EC validity. The following text shall be used:

"This is a general export authorisation under the terms of Article 9(2) of Regulation (EC) No 428/2009. This authorisation, in accordance with Article 9(2) of that Regulation, is valid in all Member States of the European Union."

Validity: according to national practices.

4. Items concerned: the following introductory text shall be used:

"This export authorisation covers the following items"

5. Destinations concerned: the following introductory text shall be used:

"This export authorisation is valid for exports to the following destinations"

6. Conditions and requirements

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